401GO AURIS | Formerly Heartland

# Your one-stop retirement shop.

## A SOLUTION THAT IS BEYOND BUNDLED

401GO delivers end-to-end ownership of your 401(k)—so there are fewer handoffs, less complexity, and a smoother experience for you and your employees. Our integrated approach brings recordkeeping, administration, compliance, and investment management together in one platform.

### Why choose a provider with end-to-end ownership over a multi-vendor approach?

**Cost Efficiency**

Reduce handoffs to help keep pricing competitive—and limit surprise fees.

**Lower Administrative Burden**

Keep compliance, reporting, and fiduciary support in one place—reducing workload and risk.

**One Point of Contact**

Work with one team for faster, clearer service.

**Faster Problem Resolution**

Resolve issues faster with one provider accountable end-to-end.

**Better Employee Experience**

Give employees one platform for enrollment, accounts, and planning.

**End-to-end ownership helps you avoid:**

- “Who owns this issue?” finger-pointing between vendors
- Delays due to handoffs and ticket ping-pong between vendors
- Multiple logins and inconsistent reporting
- Surprise add-on fees for “extras”
- Last-minute compliance work falling on HR at the worst times

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AURIS 401GO

401GO gives you everything your plan needs, managed in one integrated platform.

### Recordkeeping

- Maintain records for participants
- Receive and track contributions
- Provide reporting
- Perform plan setup and participant account setup
- Provide system for loans, distributions, and rollovers

### Administration

- Provide required notices and approved documents
- Receive loan and distribution requests
- Perform required plan testing
- Prepare tax forms (form 5500)
- Calculate profit-sharing and year-end contributions

### Asset Custody

- Provide access to a diverse selection of investments
- Execute trades
- Investment rebalancing
- 1099 services
- Maintain security of assets

**Fiduciary services included.**

Our built-in 3(16) administration services help keep your plan running smoothly and aligned with ERISA requirements.

Our 3(38) investment fiduciary services provide a strong, monitored lineup of funds. We also support outside 3(38) fiduciaries.

**With 401GO, you get:**

- ✓ **A single platform** for the full plan experience
- ✓ **One team** accountable end-to-end
- ✓ **Clear pricing** with fewer surprises
- ✓ **Compliance support** built in
- ✓ **An easier employee experience** from enrollment to retirement

### Ready for a simpler 401(k) experience?

See how a vertically integrated platform can reduce handoffs, lighten admin work and improve the participant experience.

Schedule a demo call with 401GO.

ADVISORS' CHOICE RECORDKEEPER NAPA 2025

G2 4.8 STAR RATING

SUMMER 2025 Best Support

SUMMER 2025 High Performer

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