



Fee Reimbursement

RECOUP CLOSEOUT COSTS

As part of our commitment to removing all barriers to accessing the best 401(k) provider possible, 401GO will cover the cost of closeout fees paid by plan sponsors when transferring their plan to us.

- **How does 401GO cover closeout fees?**
401GO writes the plan sponsor a check as a form of reimbursement.
- **How does 401GO know the amount to write the reimbursement check for?**
We will require a copy of the receipt generated by the plan sponsor's closeout fee payment.
- **What else does 401GO require in order to write the reimbursement check?**
Plan sponsors must also submit an invoice with the closeout fee payment receipt attached.
- **Where do plan sponsors send the invoice and receipt?**
The invoice and receipt should go to the plan sponsor's assigned regional vice president or plan consultant.
- **Is the reimbursement amount capped?**
Yes. There is an upper limit of \$1,500, however exceptions may be made. Please contact your regional vice president or plan consultant to learn more.
- **What other requirements must my plan meet to qualify for reimbursement?**
Plans must be in good standing, meaning all invoices have been paid.
- **What is the minimum amount of time my plan must be on the 401GO platform to qualify for reimbursement?**
At least one payroll period on the 401GO platform must have passed to qualify for reimbursement.
- **Does 401GO ever have the right to claw back the reimbursement?**
Plan sponsors who leave 401GO within one year of reimbursement may be required to re-pay the amount reimbursed.
- **How long will closeout fee reimbursement be available?**
This promotion will be available for an undetermined period.
- **When will the reimbursement check be sent?**
The check will be sent within 45 days of receipt of your plan's assets.